

I hereby certify that on **June 12, 2000**, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

*James H. Harrison*

**PATENT**

Applicant: Richard J. Richardson

Serial No.: 08/919,947

Filed: August 29, 1997

Title: LIGHTING CIRCUIT, LIGHTING  
SYSTEM METHOD AND APPARATUS,  
SOCKET ASSEMBLY, LAMP INSULATOR  
ASSEMBLY AND COMPONENTS  
THEREOF

Examiner: WARD, J.

Group Art Unit: 2875

#14/Pat  
Refund  
JRH  
7/20/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division  
Office of Finance

**REQUEST FOR REFUND (37 C.F.R. § 1.28(a))****A. SUBMISSION OF VERIFIED STATEMENT**

We enclose a verified statement claiming small entity status in this application.

**B. REFUND REQUEST**

On March 20, 2000, we paid a \$870.00 extension of time fee, with check No. 1839 dated March 15, 2000. Copies of the return post card and the petition identifying the check by number are enclosed. A redacted copy of a bank statement showing the negotiation of check no. 1839 on March 30, 2000, is enclosed.

On March 30, 2000, a \$870.00 extension of time fee was debited against Deposit Account No. 50-0655 for this same case (copy enclosed). It is believed that this request for refund is being made within two months of that date, though we do not have a date stamp on the statement.

**C. FEES PAID FOR WHICH REFUND REQUESTED**

	Amount Requested
Filing Fee .....	\$870
<b>Total Refund Requested</b> .....	<b>\$870</b>

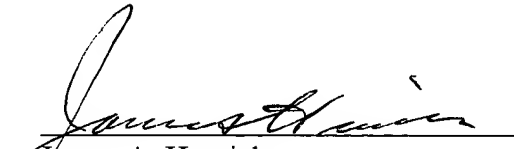
05:8 AM 91 MAR 00Z

RECEIPT ACCOUNTING

**D. MANNER OF REFUND**

Please credit Account No. 50-0655.

Dated: June 12, 2000

  
James A. Henricks  
Registration No. 31,168

**HENRICKS, SLAVIN & HOLMES LLP**

840 Apollo Street, Ste. 200  
El Segundo, CA 90245-4737  
310-563-1456  
310-563-1460 (fax)  
[jhenricks@hsh-iplaw.com](mailto:jhenricks@hsh-iplaw.com) (Email)



PATENT

Client Name & Docket No. Autronics 310030-234  
Title: Lighting Circuit, Lighting Systems Method...  
Applicant: Richardson  
Ser.# / Pat.# 08/919,947 Filing Date: 8-29-97

Please Acknowledge receipt of:

☐ Application having \_\_\_\_\_ pages of specification and claims, and \_\_\_\_\_ sheets of drawings, FIG(S) \_\_\_\_\_

- ☐ Declaration / Oath
- ☐ Power of Attorney
- ☐ Small Entity Statement
- ☐ Check # \_\_\_\_\_

☐ Patent Assignment Cover Sheet

- ☐ Assignment
- ☐ Check # \_\_\_\_\_

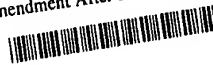
☐ Letter

☐ Information Disclosure Statement

- ☐ Form PTO-1449 & Refer.
- ☐ Certification
- ☐ Petition
- ☐ Check # \_\_\_\_\_

Mailed 7-15-2000

- ☐ Preliminary Amendment
- ☐ Request for Priority and Pri. Doc.
- ☒ Reply to Office Action
- ☐ Check # \_\_\_\_\_
- ☒ Request for Ext. of Time
- ☒ Check # 1039 (1070)
- ☐ Amendment After Final Rejection



03-20-2000

U.S. Patent & TMO/tm Mail Rcpt Dt. #31

☒ Cert. of Mailing  
By JAH

**WELLS FARGO BANK**

WELLS FARGO BANK, N.A.  
NAT'L BUSINESS BANKING CENTER - PO BOX 340214  
SACRAMENTO, CA 95834-0214

#3993

S  
/WE

PAGE 1 OF 2      THIS STATEMENT COVERS: 3/1/00 THROUGH 3/31/00

CHECKS AND WITHDRAWALS	CHECK	DATE PAID	AMOUNT	CHECK	DATE PAID	AMOUNT
					3/16	86.79
					3/16	129.94
					3/15	50.85
			1			
			32.19	1839	3/30	870.00



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

**COPY**

Account No.	500655
Date	3-31-00
Page	1

JAMES A HENRICKS, PLC

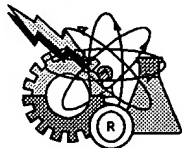
FINA

840 APOLLO STREET  
SUITE 200  
EL SEGUNDO CA 90245

**PLEASE SEND REMITTANCES TO:**  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
3	14	00	3	09262125	310030-293	116	380.00	2559.00
3	27	00	104	09528165	430165-079	203	48.00	2511.00
3	30	00	1	08919947	310030-234	117	870.00	1641.00
3	30	00	2	09126922	530065/16	203	9.00	1632.00
3	30	00	3	09126922	530065/16	202	156.00	1476.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.					OPENING BALANCE 2939.00	TOTAL CHARGES 1463.00	TOTAL CREDITS 0.00	CLOSING BALANCE 1476.00

\*\*\* OD INDICATES OVERDRAWN



## REFUNDS

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Art Unit: 2875

Serial Number: 08/919,947

Mail Room Date	16-Jun-00
Forwarded to Team	13-Jul-00
Refund Due on:	<b>28-Jul-00</b>

**ATTENTION ATTENTION ATTENTION**

Date Processed:

☐

**ACH Payment**

Attached Is The Most Current ACH Information

☐

**Treasury Check**

The Most Current ACH Information Was  
Requested From This Customer With The Purpose Of  
Processing His Refund Request By Electronic Fund  
Transfer (EFT). No EFT Information Was Received.

Therefore. If Customer Is Due A Refund:

**A Check Will Be Issued.**

*2875 Inc.*

☒

**Credit To Deposit Account**

*08919947*

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 50-0655, If Customer Is Due A Refund.

**ATTENTION ATTENTION ATTENTION**